



Bureau for Private Postsecondary Education  
 2535 Capitol Oaks Drive, Suite 400, Sacramento, CA 95833  
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**NOTICE TO COMPLY - CA-90323677-1014 (Ed. Code § 94935, 5 CCR § 75010)**

Institution Name:	Universal Schools & Colleges of Health & Human Services, Inc.	Institution Telephone:	909-980-7855
Institution Code:	90323677	Administrator Name:	Gilbert Polanco
Street Address:	5050 Palo Verde Street, Suite 210, Montclair 91763	Date of Inspection:	October 8, 2014

**Nature and Facts of the Violation(s):**

Education Code	Subsection and Description
§94904 - Ability to Benefit Students.	<p>(a) Before an ability-to-benefit student may execute an enrollment agreement, the institution shall have the student take an independently administered examination from the list of examinations prescribed by the United States Department of Education pursuant to Section 484(d) of the federal Higher Education Act of 1965 (20 U.S.C. Sec. 1070a et seq.) as it is, from time to time, amended. The student shall not enroll unless the student achieves a score, as specified by the United States Department of Education, demonstrating that the student may benefit from the education and training being offered.</p> <p><b>Several student files contained SLE Scholastic Level Exam, Form V which are not examinations prescribed by the United States Department of Education pursuant to Section 484(d) of the federal Higher Education Act of 1965 (20 U.S.C. Sec. 1070a et seq.)</b></p>

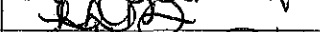

Code of Regulations	Subsection and Description
§71920 - Student Records.	<p>(b) In addition to the requirements of section 94900, the file shall contain all of the following pertinent student records:</p> <p>(1) Written records and transcripts of any formal education or training, testing, or experience that are relevant to the student's qualifications for admission to the institution or the institution's award of credit or acceptance of transfer credits including the following:</p> <p>(A) Verification of high school completion or equivalency or other documentation establishing the student's ability to do college level work, such as successful completion of an ability-to-benefit test;</p> <p><b>Several student files were lacking verification of high school completion or equivalency or other documentation establishing the student's ability to do college level work.</b></p>
§71930 - Maintenance of Records.	<p>(d) The institution shall maintain a second set of all academic and</p>

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Inspector's Initial: *DD*

Administrator's Initial: *GMW*

	<p>financial records required by the Act and this chapter at a different location unless the original records, including records stored pursuant to subdivision (b) of this section, are maintained in a manner secure from damage or loss. An acceptable manner of storage under this subsection would include fire resistant cabinets. <b>Some student files are kept in the institution's hallway in a metal cabinet which does not lock. In addition, 2012 student files are kept in cardboard boxes in an office.</b></p>
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Inspector's Name	Dae Bronstein
Inspector's Signature	
Institution Administrator Name/Title:	Gilbert Polanco
Institution Administrator's Signature:	

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Inspector's Initial:   
 Administrator's Initial: 

Education Code can be located at: [http://www.bppe.ca.gov/lawsregs/ppe\\_act.shtml](http://www.bppe.ca.gov/lawsregs/ppe_act.shtml)  
Code of Regulations can be located at: <http://www.bppe.ca.gov/lawsregs/regs.shtml>

RETURN THIS FORM WITHIN THE SPECIFIED TIME FRAME WITH EITHER: 1) VERIFICATION OF COMPLIANCE OR 2) A NOTICE OF DISAGREEMENT

### IMPORTANT COMPLIANCE NOTICE

California Education Code §94935 and California Code of Regulations §75010 provide that the Bureau for Private Postsecondary Education (Bureau) shall issue a Notice to Comply for minor violations detected during a compliance inspection by the Bureau.

By no later than 30 days from the date of the inspection, you must either: 1) Remedy the noncompliance item(s), sign the below declaration and submit this form to the Bureau, along with documentation describing how compliance was achieved; or 2) File with the Bureau a written notice of disagreement, specifying the minor violation(s) described in the Notice to Comply with which you disagree, and appealing it by requesting an informal office conference. If a written notice of disagreement is not timely filed with the Bureau, the right to appeal is deemed to have been waived.

Failure to timely remedy the noncompliance item(s) or file a written request for an informal office conference may result in the Bureau taking administrative enforcement action.

### DECLARATION

Attached to this document is a list describing how compliance was achieved for each violation and supporting documentation. I declare under penalty of perjury that all violations identified in this Notice to Comply are corrected as described in the attachment.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Print Name and Title

THIS DECLARATION OR A NOTICE OF DISAGREEMENT MUST BE SUBMITTED TO THE BUREAU BY **NOVEMBER 8, 2014**

Notice to Comply – CA-90323677-1014

Inspector's Initial: *DB*

Administrator's Initial: *Cur*