



Bureau for Private Postsecondary Education
1747 N. Market Blvd. Ste 225 Sacramento, CA 95834
P.O. Box 980818, West Sacramento, CA 95798-0818
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NOTICE TO COMPLY – CU-72482320-0222 (Ed. Code §94935 & 5, CCR §75010)

Institution Name:	Northeastern University	Institution Telephone:	(925)337-6491
Institution Code:	72482320	Administrator Name:	Dawn Girardelli
Street Address:	4 North 2nd Street San Jose, CA 95113	Date of Inspection:	February 23, 2022



Nature and Facts of the Violation(s), Including a Reference to the Statute or Regulation Violated, and Manner in Which the Institution Must Correct the Violation to Achieve Compliance:

WEBSITE MINIMUM REQUIREMENTS

Item No.	Education Code (CEC) or Regulation (5, CCR)	Deficiency – Requested Submission
1	CEC §94913	<p>(a) An institution that maintains an Internet Web site shall provide on that Internet Web site all of the following:</p> <p>(2) A School Performance Fact Sheet for each educational program offered by the institution.</p> <p>The school’s Bay Area website (https://bayarea.northeastern.edu/campuses/2014-annual-report-performance-fact-sheets/), failed to contain 2019-2020 School Performance Fact Sheets for each educational program offered by the institution.</p> <p>To remedy this violation, the school shall post on its Bay Area website, the 2019-2020 School Performance Fact Sheets for each educational program offered by the institution. The school shall submit a link or a print out of the webpage containing the link as evidence of the correction with the institution’s response to the NTC and the last page of this document by March 25, 2022.</p>

STRF Record-Keeping Requirements

Item No.	Education Code (CEC) or Regulation (5, CCR)	Deficiency – Requested Submission
2	5, CCR §76140	<p>(a) A qualifying institution shall collect and maintain records of student information to substantiate the data reported on the STRF Assessment Reporting Form and records of the students' eligibility under the Fund. Such records shall include the following for each student:</p> <p>(1) Student identification number, (2) First and last names,</p>

Notice to Comply – CU-72482320-0222
Inspector’s Initial: 
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		<p>(3) Email address, (4) Local or mailing address, (5) Address at the time of enrollment, (6) Home address, (7) Date enrollment agreement signed, (8) Courses and course costs, (9) Amount of STRF assessment collected, (10) Quarter in which the STRF assessment was remitted to the Bureau, (11) Third-party payer identifying information, (12) Total institutional charges charged, and (13) Total institutional charges paid.</p> <p>The school's STRF supporting documentation failed to identify each student's, Local or mailing address, Address at the time of enrollment, Home address, Date enrollment agreement signed, Quarter in which the STRF assessment was remitted to the Bureau, Third-party payer identifying information.</p> <p>To remedy this violation, the school shall provide updated 3rd Quarter 2021 supporting documentation containing for each student's, Local or mailing address, Address at the time of enrollment, Home address, Date enrollment agreement signed, Quarter in which the STRF assessment was remitted to the Bureau, Third-party payer identifying information. The STRF supporting documentation shall be submitted with the institution's response to the NTC and the last page of this document by March 25, 2022.</p>
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Self-Monitoring Procedures

Item No.	Referenced Law	Deficiency - Requested Submission
3	5, CCR §71760	<p>Each institution shall develop and maintain adequate procedures used by the institution to assure that it is maintained and operated in compliance with the Act and this Division.</p> <p>The school does not maintain self-monitoring procedures.</p> <p>To remedy this violation, the school shall develop and maintain adequate policy and procedures used by the institution to assure that it is maintained and operated in compliance with the Act and this Division. The school shall submit a the school's self-monitoring policy with the institution's response to the NTC and the last page of this document by March 25, 2022.</p>

Notice to Comply – CU-72482320-0222



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Current Advertising and Promotional Materials


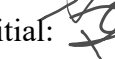
Item No.	Referenced Law	Deficiency - Requested Submission
4	5, CCR § 74140	<p>Every institution shall retain, for a minimum of five years, copies of all advertising, including (a) flyers, brochures, newspaper, and other print advertisements, (b) scripts for, and audio and video recordings of, broadcast advertisements, and (c) internet content, and (d) scripts for telephone solicitations. The institution shall make these records immediately available for inspection and copying during normal business hours to site visit teams and the Bureau.</p> <p>The school was unable to provide current advertising and promotional material or documentation to show the school is retaining the advertisements for a minimum of five years.</p> <p>To remedy this violation, the school shall provide current advertising, promotional material, and develop a policy that demonstrates compliance with the requirements of 5, CCR § 74140 retention of advertisements for the minimum of five years. The school shall submit the documentation and policy with institution's response to the NTC and the last page of this document by March 25, 2022.</p>

Only minor violations are listed on a Notice to Comply.

Inspector's Name	Gema Fider
Inspector's Signature	
Institution Administrator Name/Title:	Dawn Girardelli, Regional CEO and Dean
Institution Administrator's Signature:	

Education Code can be located at: http://www.bppe.ca.gov/lawsregs/ppe_act.shtml
 Code of Regulations can be located at: <http://www.bppe.ca.gov/lawsregs/regs.shtml>

Notice to Comply – CU-72482320-0222

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RETURN THIS FORM WITHIN THE SPECIFIED TIME FRAME WITH EITHER: 1) VERIFICATION OF COMPLIANCE OR 2) A NOTICE OF DISAGREEMENT

IMPORTANT COMPLIANCE NOTICE

California Education Code §94935 and California Code of Regulations §75010 provide that the Bureau for Private Postsecondary Education (Bureau) shall issue a Notice to Comply for minor violations detected during a compliance inspection by the Bureau.

By no later than 30 days from the date of the inspection, you must either: 1) Remedy the noncompliance item(s), sign the below declaration and submit this form to the Bureau, along with documentation describing how compliance was achieved; or 2) File with the Bureau a written notice of disagreement, specifying the minor violation(s) described in the Notice to Comply with which you disagree, and appealing it by requesting an informal office conference. If a written notice of disagreement is not timely filed with the Bureau, the right to appeal is deemed to have been waived.

Failure to timely remedy the noncompliance item(s) or file a written request for an informal office conference may result in the Bureau taking administrative enforcement action.

DECLARATION

Attached to this document is a list describing how compliance was achieved for each violation and supporting documentation. I declare under penalty of perjury that all violations identified in this Notice to Comply are corrected as described in the attachment.

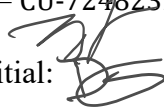
Signature

Date

Print Name and Title

THIS DECLARATION OR A NOTICE OF DISAGREEMENT MUST BE SUBMITTED TO THE BUREAU BY **March 25, 2022**

Notice to Comply – CU-72482320-0222

Inspector's Initial: 

Administrator's Initial: 