BUSINESS, CONSUMER SERVICES AND HOUSING AGENCY • GAVIN NEWSOM, GOVERNOR

DEPARTMENT OF CONSUMER AFFAIRS • BUREAU FOR PRIVATE POSTSECONDARY EDUCATION

1747 N. Market Blvd., Suite 225, Sacramento, CA 95834

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# **AFFIRMED CITATION ORDER**

| Citation Issued To:   | Citation Number:                 |
|-----------------------|----------------------------------|
| DePaul University     | 24250165                         |
| 1040 N. Las Palmas    |                                  |
| Los Angeles, CA 90038 | Total Fine Amount:<br>\$7,002.00 |
|                       | \$7,002.00                       |
|                       | Order of Abatement               |
| Institution Code:     | Included: Yes                    |
| 24217614              |                                  |

Elizabeth Elias issues this affirmed citation in her official capacity as Deputy Bureau Chief of Enforcement at the Bureau for Private Postsecondary Education, California Department of Consumer Affairs (hereinafter referred to as the "Bureau").

## LICENSING HISTORY

1. On or about July 21, 2022, the Bureau granted an Approval to Operate an Accredited Private Postsecondary Institution, Institution Code 24217614, to DePaul University, hereinafter referred to as the "Institution". This Approval to Operate will expire on or about December 31, 2028, unless renewed.

#### DISCIPLINE HISTORY

- 2. The Institution has the following discipline history:
  - Citation No. 2223134, issued April 20, 2023
    - o Evidence of compliance; citation satisfied May 18, 2023

### CITATION JURISDICTION

3. This administrative citation is issued to the Institution pursuant to the statutory provisions of California Education Code (CEC) section 94936; and Title 5 of the California Code of Regulations (5 CCR) section 75020 et seq. for the violation(s) described below.

#### CITATION HISTORY

4. On or about February 20, 2025, the Bureau issued Citation No. 24250165. On or about March 13, 2025, the Bureau received an appeal with a

request for an informal conference. The informal conference was held on April 21, 2025. No new substantive facts were presented during the informal conference; therefore, the Bureau affirms Citation No. 24250165.

## CAUSE FOR CITATION & ASSESSMENT OF FINE AND/OR ORDER OF ABATEMENT

5. The Institution is in violation of the following Bureau laws and regulations:

## Violation #1:

5 CCR section 76140 – Record-Keeping Requirements and 5 CCR section 71930(a) and (e) – Maintenance Records

**Cause for Citation:** On or about January 29, 2024, the Bureau conducted an announced compliance inspection pursuant to CEC section 94932.5(a). Bureau staff requested to review the Institutions Student Tuition Recovery Fund (STRF) supporting data for the 3<sup>rd</sup> and 4<sup>th</sup> quarter reporting periods of 2023. Bureau staff determined the Institution was unable to provide the data by the conclusion of the inspection. Additionally, the Institution stated that the STRF data is located at another location, outside of California, which was closed at the time of the inspection.

**Administrative Fine:** Pursuant to 5 CCR section 75030, the Bureau has determined this to be a "Class B" violation. The Institution is issued a fine of \$1,001.00.

**Order of Abatement:** Pursuant to 5 CCR section 75020, the Bureau issues the following order of abatement:

The Bureau orders the Institution to correct the violation by submitting an electronic copy of the template used by the Institution to document supporting data for STRF for the Bureau to verify the institution is collecting the required data points pursuant to 5 CCR section 76140.

In addition, the Institution must submit a statement of attestation they have corrected the violation and will ensure that the substantiating data for STRF is maintained in California in an electronic format and readily available to a Bureau representative upon request pursuant to 5 CCR 76140. The statement of attestation must be dated and signed by an authorized representative of the Institution.

## Violation #2:

# 5 CCR section 71930(a) and (e)- Maintenance of Records

Cause for Citation: On January 29, 2024, the Bureau conducted an announced compliance inspection pursuant to CEC section 94932.5(a). Bureau staff reviewed 8 student files and found that all 8 files failed to contain School Performance Fact Sheets (SPFS), signed and dated by the student and Institution. Bureau staff inquired about the missing SPFS documents. The Institution stated that the SPFS documents are located at another location, outside of California, which was closed at the time of the inspection. Therefore, the Institution failed to maintain student records pursuant to 5 CCR section 71930(a) and (e).

**Administrative Fine:** Pursuant to 5 CCR section 75030, the Bureau has determined this to be a "Class B" violation. The Institution is issued a fine of \$1,001.00.

**Order of Abatement:** Pursuant to 5 CCR section 75020, the Bureau issues the following order of abatement:

The Bureau orders the Institution to ensure all student records are maintained pursuant to 5 CCR 71930. The Institution must submit a statement of attestation that they have corrected the violation and will ensure that all student records contain signed SPFS. The statement of attestation must be dated and signed by an authorized representative of the Institution.

#### Violation #3:

5 CCR section 71930(a) and (e) – Maintenance of Records

**Cause for Citation:** On or about January 29, 2024, the Bureau conducted an announced compliance inspection pursuant to CEC section 94932.5(a). Bureau staff reviewed 8 student files and found that all 8 files failed to contain signed Enrollment Agreements. Bureau staff inquired about the missing Enrollment Agreements. The Institution stated that the Enrollment Agreements are located at another location, outside of California, which was closed at the time of the inspection. Therefore, the Institution failed to maintain student records pursuant to 5 CCR section 71930(a) and (e).

**Administrative Fine:** Pursuant to 5 CCR section 75030, the Bureau has determined this to be a "Class A" violation. The Institution is issued a fine of \$5,000.00.

**Order of Abatement:** Pursuant to 5 CCR section 75020, the Bureau issues the following order of abatement:

The Bureau orders the Institution to ensure all student records follow the law pursuant to 5 CCR section 71930. The Institution must submit a statement of attestation that they have corrected the violation and will ensure that all student records contain signed enrollment agreements. The statement of attestation must be signed and dated by an authorized representative of the Institution.

### COMPLIANCE WITH ORDER OF ABATEMENT

- 6. In accordance with the provisions of CEC section 94936 and 5 CCR sections 75020 and 75040, the Bureau issues the order(s) of abatement described above. Evidence of compliance with the order of abatement must be submitted to the Bureau within 30 days from the date of issuance of this affirmed citation. Evidence of compliance with the order of abatement may sent by mail or email to:
  - Mail: Bureau for Private Postsecondary Education Attn: Discipline Unit – Tessa Barron 1747 North Market, Blvd., Suite 225 Sacramento, CA 95834
  - Email: bppe.discipline@dca.ca.gov
    - In the subject line, please include the Institution name and citation number.

### **COMPLIANCE WITH ASSESSMENT OF FINE**

7. In accordance with the provisions of CEC section 94936, and 5 CCR section 75020 et seq., the Bureau hereby orders this assessment of fines in the total amount of \$7,002.00 for the violations described above. Payment of the fines must be made to the Bureau within 30 days from the date of issuance of this affirmed citation. To assist the Bureau in processing the payment of fines, please submit the enclosed Payment of Fine – Waiver of Appeal form.

Payment must be sent to the Bureau by mail at:

 Bureau for Private Postsecondary Education Attn: Discipline Unit – Tessa Barron 1747 North Market, Blvd., Suite 225 Sacramento, CA 95834

#### NOTICE OF APPEAL RIGHTS

8. Pursuant to 5 CCR section 75040(d), the affirmed decision is considered final, unless a request for a hearing was filed timely.

If the Institution requested a hearing and no longer chooses to proceed with the hearing, the Institution may request to withdraw the request for a hearing. If the Institution has not requested to withdraw the hearing, a hearing will be set to hear the matter.

### COMPLIANCE WITH FINE AND/OR ORDER OR ABATEMENT DISCLOSURE

9. Pursuant to 5 CCR section 75050, payment of fine and/or compliance with any order of abatement does not constitute an admission of the violation charged and shall be represented as satisfactory resolution of the matter for the purposes of public disclosure.

Pursuant to 5 CCR section 75050, failure to comply with this citation order, its order of abatement, and/or administrative fine by the required due date is grounds for denial or discipline of an approval to operate. Where a citation is not contested and fine is not paid, the full amount of the assessed fine shall be added to the fee for renewal of the approval to operate. An approval to operate shall not be renewed without payment of the renewal fee and fine. The Bureau may also enforce the administrative fine as if it were a money judgment pursuant to CEC section 94936.

# **BUREAU CONTACT INFORMATION**

10. If you have any questions regarding this Citation, please contact Tessa Barron, Citation Analyst by email at <a href="mailto:Tessa.Barron@dca.ca.gov">Tessa.Barron@dca.ca.gov</a> or by phone at (916) 574-7791.

| "Original Signature on File"       | 5/22/2025                 |
|------------------------------------|---------------------------|
| Elizabeth Elias                    | Citation Date of Issuance |
| Deputy Bureau Chief of Enforcement |                           |

# Enclosures:

- > Payment of Fine
- > Declaration of Service by Certified and First-Class Mail