# BEFORE THE **DEPARTMENT OF CONSUMER AFFAIRS** FOR THE BUREAU FOR PRIVATE POSTSECONDARY EDUCATION STATE OF CALIFORNIA

In	the	Matter	of the	Accusation	Against:
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Case No. 1001552

OAH No. 2019050389

ADVANCE BEAUTY COLLEGE, INC., dba ADVANCE BEAUTY COLLEGE 10121 Westminster Avenue Garden Grove, CA 92843

School Code No. 3012821

Respondent.

## **DECISION AND ORDER**

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Director of the Department of Consumer Affairs as the Decision and Order in the above-entitled matter.

This Decision shall become	e effective on 007   3   2019
IT IS SO ORDERED this _	11th day of September, 201
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	RYAN MARCROFT
	Deputy Director, Legal Affairs Division
	Department of Consumer Affairs

1	XAVIER BECERRA Attorney General of California							
2	JAMES M. LEDAKIS Supervising Deputy Attorney General NICOLE R. TRAMA							
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8	Attorneys for Complainant							
9	BEFOR	ЕТНЕ						
10	DEPARTMENT OF CONSUMER AFFAIRS FOR THE BUREAU FOR PRIVATE POSTSECONDARY EDUCATION STATE OF CALIFORNIA							
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14	In the Matter of the Accusation Against:	-Case No. 1001552						
15	ADVANCE BEAUTY COLLEGE, INC.,	OAH No. 2019050389						
16	DBA ADVANCE BEAUTY COLLEGE 10121 Westminster Avenue	STIPULATED SETTLEMENT AND DISCIPLINARY ORDER						
17	Garden Grove, CA 92843							
18	School Code 3012821							
19	Respondent.							
20	And the state of t							
21	IT IS HEREBY STIPULATED AND AGREED by and between the parties to the above-							
22	entitled proceedings that the following matters are true:							
23	<u>PARTIES</u>							
24	1. Dr. Michael Marion, Jr. (Complainant) is the Chief of the Bureau for Private							
25	Postsecondary Education (Bureau). He brought this action solely in his official capacity as the							
26	Chief of the Bureau and is represented in this matter by Xavier Becerra, Attorney General of the							
27	State of California, by Nicole R. Trama, Deputy Attorney General.							
28	<i>III</i>							

- Respondent Advance Beauty College, Inc., dba Advance Beauty College
   (Respondent) is represented in this proceeding by attorney Derrick Hoang Nguyen, whose address
   3011 S. Shannon St., Santa Ana, CA 92704-6320.
- 3. On or about September 16, 2004, the Bureau issued an Approval to Operate an Accredited Institution, School Code 3012821 to Advance Beauty College, dba Advance Beauty College (Respondent) with Tam Nguyen, as CEO and Linh Nguyen, as Secretary.

#### **JURISDICTION**

- 4. Accusation No. 1001552 was filed before the Director of the Department of Consumer Affairs (Director), for the Bureau, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on April 9, 2019. Respondent timely filed a Notice of Defense contesting the Accusation.
- 5. A copy of Accusation No. 1001552 is attached as exhibit A and incorporated herein by reference.

## ADVISEMENT AND WAIVERS

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 1001552. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of its legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against them; the right to present evidence and to testify on its own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

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#### CULPABILITY

- 9. Respondent understands and agrees that the charges and allegations in Accusation No. 1001552, if proven at a hearing, constitute cause for imposing discipline upon its Approval to Operate an Accredited Institution (School Code Number 3012821).
- 10. For the purpose of resolving the Accusation without the expense and uncertainty of further proceedings, Respondent agrees that, at a hearing, Complainant could establish a factual basis for the charges in the Accusation, and that Respondent hereby gives up its right to contest those charges.
- 11. Respondent agrees that its Approval to Operate an Accredited Institution is subject to discipline and they agree to be bound by the Director's probationary terms as set forth in the Disciplinary Order below.

# CONTINGENCY

- 12. This stipulation shall be subject to approval by the Director of the Department of Consumer Affairs or the Director's designee. Respondent understands and agrees that counsel for Complainant and the staff of the Bureau for Private Postsecondary Education may communicate directly with the Director and staff of the Department of Consumer Affairs regarding this stipulation and settlement, without notice to or participation by Respondent or its counsel. By signing the stipulation, Respondent understands and agrees that they may not withdraw its agreement or seek to rescind the stipulation prior to the time the Director considers and acts upon it. If the Director fails to adopt this stipulation as the Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Director shall not be disqualified from further action by having considered this matter.
- 13. The parties understand and agree that Portable Document Format (PDF) and facsimile copies of this Stipulated Settlement and Disciplinary Order, including PDF and facsimile signatures thereto, shall have the same force and effect as the originals.
- 14. This Stipulated Settlement and Disciplinary Order is intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement.

It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.

15. In consideration of the foregoing admissions and stipulations, the parties agree that the Director may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

## **DISCIPLINARY ORDER**

16. IT IS HEREBY ORDERED that Approval to Operate an Accredited Institution, (School Code 3012821), issued to Respondent Advance Beauty College, Inc., dba Advance Beauty College with Tam Nguyen, as CEO and Linh Nguyen, as Secretary is revoked. However, the revocation is stayed and Respondent is placed on probation for three (3) years on the following terms and conditions.

Severability Clause. Each condition of probation contained herein is a separate and distinct condition. If any condition of this Order, or any application thereof, is declared unenforceable in whole, in part, or to any extent, the remainder of this Order, and all other applications thereof, shall not be affected. Each condition of this Order shall separately be valid and enforceable to the fullest extent permitted by law.

#### 1. Obey All Laws

Respondent shall obey all federal, state and local laws and regulations governing the operation of a private postsecondary educational institution in California. Respondent shall submit, in writing, a full detailed account of any and all violations of the law to the Bureau within five (5) days of discovery.

CRIMINAL COURT ORDERS: If Respondent is under criminal court orders, including probation or parole, and the order is violated, this shall be deemed a violation of these probation conditions, and may result in the filing of an Accusation and/or Petition to Revoke Probation.

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# 2. Compliance with Probation and Quarterly Reporting

Respondent shall fully comply with the terms and conditions of probation established by the Bureau and shall cooperate with representatives of the Bureau in its monitoring and investigation of the Respondent's compliance with probation. Respondent, within ten (10) days of completion of the quarter, shall submit quarterly written reports to the Bureau on a Quarterly Report of Compliance form obtained from the Bureau.

# 3. Personal Appearances

Upon reasonable notice by the Bureau, Respondent shall report to and make personal appearances at times and locations as the Bureau may direct.

# 4. Notification of Address and Telephone Number Change(s)

Respondent shall notify the Bureau, in writing, within five (5) days of a change of name, title, physical home address, email address, or telephone number of each person, as defined in section 94855 of the Code, who owns or controls 25% or more of the stock or an interest in of the institution and, to the extent applicable, each general partner, officer, corporate director, corporate member or any other person who exercises substantial control over the institution's management or policies.

Prior Bureau approval is required before Respondent makes any changes regarding ownership or control of 25% or more of the stock or an interest in of the institution and, to the extent applicable, each general partner, officer, corporate director, corporate member or any other person who exercises substantial control over the institution's management or policies.

Respondent shall submit an Application for Substantive Change to the Bureau before any changes are made regarding ownership or control of 25% or more of the stock or an interest in of the institution and, to the extent applicable, each general partner, officer, corporate director, corporate member or any other person who exercises substantial control over the institution's management or policies.

## 5. Notification to Prospective Students

When currently soliciting or enrolling (or re-enrolling) a student for any program,

Respondent shall provide notification of this action to each current or prospective student prior to

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accepting their enrollment, and to those students who were enrolled at the time of the conduct that is the subject of this action as directed by the Bureau. This notification shall include a copy of the Accusation, Statement of Issues, Stipulated Settlement, or Disciplinary Decision (whichever applies).

A copy of the notification shall be provided to the Bureau for approval within fifteen (15) days of the effective date of this Decision, along with the names of the students and prospective students who will be notified and their current contact information.

#### 6. Student Roster

Within 15 days of the effective date of this Decision, and with the Quarterly Reports thereafter, provide to the Bureau the names, addresses, phone numbers, email addresses, and the programs in which they are or were enrolled, of all persons who are currently or were students of the institution within 60 days prior to the effective date of the Decision, and those students who were enrolled at the time of the conduct that is the subject of this action.

# 7. Instruction Requirements and Limitations

During probation, Respondent shall provide approved instruction in the State of California. If Respondent is not providing instruction, the period of probation shall be tolled during that time.

## 8. Record Storage

Within 5 days of the effective date of this Decision, provide the Bureau with the location of the repository for all records as they are required to be maintained pursuant to Title 5, California Code of Regulations, section 71930.

# 9. Maintenance of Current and Active Approval to Operate

Respondent shall, at all times while on probation, maintain a current and active approval to operate with the Bureau including any period during which approval is suspended or probation is tolled.

## 10. Comply With Citations

Respondent shall comply with all final orders resulting from citations issued by the Bureau.

# 11. Cost Recovery Requirements

Respondent shall pay to the Bureau its costs of investigation and enforcement in the amount of \$5,062.83 no later than one year before the termination of probation. Such costs shall be payable to the Bureau and are to be paid regardless of whether the probation is tolled. Failure to pay such costs shall be considered a violation of probation.

Except as provided above, the Bureau shall not renew or reinstate the approval to operate of any Respondent who has failed to pay all the costs as directed in a Decision.

#### 12. Violation of Probation

If Respondent violates probation in any respect, the Bureau, after giving Respondent notice and opportunity to be heard, may revoke probation and carry out the disciplinary order which was stayed. If an Accusation or a Petition to Revoke Probation is filed against Respondent during probation, the Bureau shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended, and Respondent shall comply with all probation conditions, until the matter is final.

# 13. Future Approvals to Operate

If Respondent subsequently obtains other approvals to operate during the course of this probationary order, this Decision shall remain in full force and effect until the probationary period is successfully terminated. Future approvals shall not be granted, however, unless Respondent is currently in compliance with all of the terms and conditions of probation.

# 14. Comply with All Accreditation Standards

As applicable, Respondent shall comply with all standards set by its accreditor in order to maintain its accreditation. Respondent shall submit to the Bureau, in writing, a full detailed account of any and all actions taken by any accrediting agency against Respondent regarding any institution operated by Respondent, including an order to show cause, or conditions or restrictions placed on accreditation, within five (5) days of occurrence.

#### 15. Completion of Probation

Upon successful completion of probation, Respondent's approval to operate will be fully restored.

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## ACCEPTANCE

I have careft	Illy read the above Stipulated S	ettlement and Discipli	nary Order and have fully
discussed it with n	ny attorney, Derrick Hoang Ngu	iyen. I understand the	stipulation and the effec
it will have on the	Approval to Operate an Accred	ited Institution (School	ol Code Number
3012821). I enter	into this Stipulated Settlement a	and Disciplinary Orde	r voluntarily, knowingly,
and intelligently, a	nd agree to be bound by the De	cision and Order of th	e Director of the
Department of Cor	nsumer Affairs		

DATED: 8-8-19

> TAM NGUYEN, CEØ. Authorized Agent on Behalf of ADVANCE BEAUTY COLLEGE, INC., DBA ADVANCE BEAUTY COLLEGE

I have read and fully discussed with Respondent Advance Beauty College, Inc., dba Advance Beauty College the terms and conditions and other matters contained in the above Stipulated Settlement and Disciplinary Order. I approve its form and content.

DATED:

8/8/2019

DERRICK HOANG NOUYEN Attorney for Respondent

#### ENDORSEMENT

The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Director of the Department of Consumer Affairs.

DATED:

Respectfully submitted,

XAVIER BECERRA Attorney General of California JAMES M. LEDAKIS Supervising Deputy Attorney General

NICOLE R. TRAMA Deputy Attorney General

Attorneys for Complainant