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APPEAL OF CITATION INFORMAL CONFERENCE DECISION: CITATION MODIFIED

July 26, 2018

Union University of California 14200 Goldenwest Street Westminster, CA 92683

Date of Issuance	Citation Number	Institution Code
July 26, 2018	1718050	3009321

On July 18, 2018, an informal telephone conference was held in the matter of Citation: Assessment of Fine and Order of Abatement No. 1718050 (Citation) against Union University of California, Owner of Union University of California. In attendance were Yvette Johnson, Enforcement Chief; and Son Xuan Nguyen, Owner.

Pursuant to Business and Professions Code, section125.9; California Education Code, section 94936; and Title 5 of the California Code of Regulations, section 75020 and section75040, the Bureau for Private Postsecondary Education (Bureau) renders the following decision relative to your appeal of the Citation No. 1718050.

It is the decision of the Enforcement Chief that on July 18, 2018 Citation No. 1718050 is <u>modified</u> and makes the following change(s):

VIOLATION CODE SECTIONS

#	Below you will find the California Education Code (CEC) and/or Title 5 of the California Code of Regulations (5 CCR code) section(s) of law you are charged with violating.
1.	MODIFIED
	Violation:
	5, CCR Section 74110 (a-d) – Annual Report
	"(a) The annual report required by section 94934 of the Code shall include the information
	required by section 94929.5 and 94934 for all educational programs offered in the prior
	calendar year. (b) In addition to the information required by section 94934 and this section
	provided under penalty of perjury, the institution shall have annual financial statements
	prepared for the institution's prior fiscal year and signed under penalty of perjury, and shall
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Page 1 of 4 Citation No 1718050- MODIFIED Union University of California, Owner of Union University of California Institution code: 3009321 submit a hard copy under separate cover of such statements in conjunction with its annual report. The form, content and mode of preparation of financial statements shall comply with Section 74115 of this Division. The Bureau may request that the institution immediately make available for inspection to a representative of the Bureau, these financial statements at the offices of the institution. (c) An institution shall file its annual report by December 1st. The Bureau may extend the period for filing if the institution demonstrates evidence of substantial need but in no case longer than 60 days. The institution shall not change the date of its filing its annual report because of a change in the fiscal year without the Bureau's approval. (d) The annual report shall be electronically filed by submitting the information required by section 94934 of the Code and this section via the online form provided on the Bureau's website, electronically attaching, as directed, the School Performance Fact Sheet, the enrollment agreement, and the school catalog".

CEC 94934(a)(1-9) - Annual Report

"(a) As part of the compliance program, an institution shall submit an annual report to the bureau, under penalty of perjury, signed by a responsible corporate officer, by July 1 of each year, or another date designated by the bureau, and it shall include the following information for educational programs offered in the reporting period:

(1) The total number of students enrolled by level of degree or for a diploma.

- (2) The number of degrees, by level, and diplomas awarded.
- (3) The degree levels and diplomas offered.
- (4) The Student Performance Fact Sheet, as required pursuant to Section 94910.
- (5) The school catalog, as required pursuant to Section 94909.
- (6) The total charges for each educational program by period of attendance.

(7) A statement indicating whether the institution is, or is not, current in remitting Student Tuition Recovery Fund assessments.

(8) A statement indicating whether an accrediting agency has taken any final disciplinary action against the institution.

(9) Additional information deemed by the bureau to be reasonably required to ascertain compliance with this chapter".

As of June 19, 2018, the Bureau has not received an annual report for the year 2016 from Union University of California, Institution Code 3009321. The Institution failed to electronically file its 2016 annual report.

Order of Abatement:

The Bureau orders the Institution to submit its annual report in accordance with 5, CCR section 74110 (a-d) and CEC section 94934(a)(1-9). The Institution shall submit the annual report via the Bureau website at www.bppe.ca.gov

Assessment of Fine

The fine for this violation is <u>\$5,000.00</u>

Reason for modification: The Institution submitted 2016 annual report.

The administrative fine for this violation has been modified from \$5,000.00 to \$250.00.

TOTAL MODIFIED ADMINISTRATIVE FINE DUE: <u>\$250.00</u>

PENALTY – ASSESSMENT OF A FINE

Payment of the administrative fine is due within <u>30 days</u> from the date of this decision. Please complete the <u>Payment of Fine</u> form. Payment must be made to the Bureau by check, or money order. Please include the citation number on the payment of the fine assessment. Payment of the administrative fine shall not constitute an admission of the violation(s) charged and shall be represented as satisfactory resolution of the matter for purposes of public disclosure. Payments may be mailed to:

> Gurinder Sandhu, Discipline Citation Program Bureau for Private Postsecondary Education 2535 Capitol Oaks Drive, Suite 400 Sacramento, CA 95833

APPEAL OF CITATION

You *do not* have the right to request another Informal Conference to appeal this modified Citation. You *do*, however, have the right to appeal this modified Citation through an Administrative Hearing, *only if* you initially requested one within 30 days from the issuance date of the original citation. A hearing before an Administrative Law Judge will be scheduled and you will be notified of the hearing date. The hearing will be held pursuant to Chapter 5 (commencing with section 11500) of Part 1 of Division 3 of Title 2 of the Government Code. If you did not initially request an Administrative Hearing within 30 days from when the original citation was issued, you can no longer request one.

EFFECTIVE DATE OF CITATION AND FINE ASSESSMENT

This modified Citation is effective on July 26, 2018. The payment is due by August 25, 2018.

Failure to abate the violation or to pay the administrative fine within the time allowed is grounds for denial of an application for an approval to operate or discipline. The Bureau will promptly take all appropriate action to enforce the Modified Citation and recover the civil penalties prescribed therein or found to be due after a hearing.

CONTACT INFORMATION

If you have any questions regarding this decision or desire further information, please contact Gurinder Sandhu, Citation Analyst, at (916) 431-6940 or_iat <u>Gurinder.Sandhu@dca.ca.gov</u>.

Date

Christina Villanueva Discipline Manager

Enclosures

- Payment of Fine Waiver of Appeal Rights
- Copy of Modified Citation
- > Declaration of Service by Certified and First-Class Mail

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