



Bureau for Private Postsecondary Education
 P.O. Box 980818, West Sacramento, CA 95798-0818
 (888) 370-7589 / www.bppe.ca.gov



NOTICE TO COMPLY - 000362 (Ed. Code § 94935, 5 CCR § 75010)

Institution Name: <i>AULA Institute</i>	Institution Telephone: <i>408-239-5520</i>
Inst. Code: <i>4307321</i>	Administrator Name: <i>Daniel Vien</i>
Street Address: <i>2268 Quimby Rd. Ste E San Jose, CA</i>	Administrator Signature: <i>[Signature]</i>
Inspector: <i>JAN Joy</i> <i>JAN Joy</i> <i>Signature</i>	<i>JAN Joy</i> <i>Printed Name</i>
	<i>6-27-12</i> <i>Date</i>

VIOLATION(S)

✓	Educ. Code	Description	✓	Code of Regs	Description
	§94802	Continuance of Former Approvals. Subsection(s):		§71705	Mission and Objectives.
	§94897	Prohibited Business Practices. Subsection(s):		§71710	Educational Program. Subsection(s):
	§94898	Merging Classes, Converting Method of Delivery, Changing Locations. Subsection(s):		§71715	Instruction. Subsection(s):
	§94899	Approval Required for Programs Leading to Licensed Profession.		§71716	Distance Educational Programs - Specific Provisions for Instruction Not in Real Time. Subsection(s):
	§94899.5	Collection of Tuition. Subsection(s):		§71717	Satellite Locations. Subsection(s):
✓	§94900	Required Student Records. Subsection(s): <i>See attachment for subsection</i>		§71720	Faculty. Subsection(s):
	§94900.5	Required Institutional Records. Subsection(s):		§71730	Administration. Subsection(s):
	§94901	Requirements for Recruiters. Subsection(s):	✓	§71735	Facilities and Equipment. Subsection(s): <i>See attachment for subsections</i>
✓	§94902	General Enrollment Requirements. Subsection(s): <i>See attachment for subsections</i>		§71740	Library and Other Learning Resources. Subsection(s):
	§94903	Waiving Provisions Prohibited.		§71750	Withdrawals and Refunds. Subsection(s):
✓	§94904	Ability to Benefit Students.		§71760	Self-Monitoring Procedures.
	§94905	Professions Requiring Licensure, Internships. Subsection(s):	✓	§71770	Admissions Standards and Transferred Credits Policy. Subsection(s): <i>See attachment for subsections</i>
	§94906	Language of Enrollment Agreement. Subsection(s):		§71800	Enrollment Agreement. Subsection(s):
	§94907	Mandatory Internal Dispute Resolution Prohibited.		§71810	Catalog. Subsection(s):
	§94908	Size of Text in Required Documents.		§71850	Minimum Educational Requirements in Order to Award an Undergraduate Degree. Subsection(s):
	§94909	Minimum Requirements for School Catalog. Subsection(s):		§71865	Minimum Educational Requirements in Order to Award a Graduate Degree. Subsection(s):
	§94910	Minimum Requirements for School Performance Fact Sheet. Subsection(s):	✓	§71920	Student Records. Subsection(s): <i>see attachment for subsections</i>
	§94911	Minimum Requirements for enrollment Agreement. Subsection(s):		§71930	Maintenance of Records. Subsection(s):
	§94912	Signature, Initials Required.		§74112	Uniform Data - Annual Report, Perform. Fact Sheet. Subsection(s):
	§94916	Statement On Loans To Students.		§74140	Retention of Advertising.
	§94918	Compliance with Federal Statutes.		§74150	Use of Term "University." Subsection(s):
	§94919	Institutions Participating in Federal Student Financial Aid Programs. Subsection(s):		§74190	Agents for Service of Process; Changes.
	§94920	Mandatory Cancellation, Withdrawal, and Refund Policies. Subsection(s):		§76140	Record-Keeping Requirements. Subsection(s):
	§94922	Waiving Provisions Prohibited.		§76215	Student Tuition Recovery Fund Disclosures. Subsection(s):
	§94929	Reporting of Completion Rate. Subsection(s):	✓	Other Violation	Description
	§94929.5	Reporting of Student Performance Data. Subsection(s):			
	§94929.7	Documentation of Performance Data. Subsection(s):			
	§94943.5	Agent for Service of Process.			

(White) Bureau

(Yellow) Inspector

(Pink) Institution



Bureau for Private Postsecondary Education
P.O. Box 980818, West Sacramento, CA 95798-0818
(888) 370-7589 / www.bppe.ca.gov



NOTICE TO COMPLY - 000362

INSTITUTION NAME: AuLac Institute

INSPECTOR'S COMMENTS: Nature and facts of violations are listed below:
71735(b): Only 1 fire extinguisher on site with no servicing date need to meet fire safety code.
71770(a)(1): School does not require High School Diploma or equivalent for admission
71920(b)(1)(A): No record of High School Diploma or equivalent contained in student file
71920(b)(5) no Transcript contained in student files showing:
71920(b)(5)(A): Courses completed or attempted and dates of completion or withdrawal
71920(b)(5)(B): Credit awarded for prior experiential learning including course title and amount of credit (or hours)
71920(b)(5)(C): Credit for courses earned at other institutions.
71920(b)(5)(D): Credit based on exam or educational achievement.
71920(b)(5)(E): Name, address, telephone number, website of school.

Comments continued on attached 1 page(s).

Inspection Date: 6/26/27/2012 Inspector's Printed Name: JAN JOY Inspector Initialed: JJ
Institution Administrator Printed Name: Daniel Vien Administrator Initialed: DV

IMPORTANT COMPLIANCE NOTICE

California Education Code §94935 and California Code of Regulations §75010 provide that the Bureau for Private Postsecondary Education (Bureau) shall issue a Notice to Comply for minor violations detected during an inspection by the Bureau.

By no later than 30 days from the date of inspection, you must either: (1) Remedy the noncompliance(s) and sign and submit this form to the Bureau, along with documentation describing how compliance was achieved; or (2) File with the Bureau a written notice of disagreement, specifying the minor violations described in the Notice to Comply with which you disagree, and appealing it by requesting an informal office conference. If a written notice of disagreement is not timely filed with the Bureau, the right to appeal is deemed to have been waived.

Failure to timely remedy the noncompliance(s) or file a written request for an informal office conference may result in the Bureau taking administrative enforcement action.

DECLARATION

Attached to this document is a list describing how compliance for each violation was achieved. I declare under penalty of perjury that all violations identified in this Notice to Comply are corrected as described in the attachment.

Signature Date
Printed Name and Title

THIS DECLARATION OR A NOTICE OF DISAGREEMENT MUST BE SUBMITTED TO THE

BUREAU BY July 27, 2012

(White) Bureau (Yellow) Inspector (Pink) Institution



BUREAU FOR PRIVATE POSTSECONDARY EDUCATION

P.O. Box 980818, West Sacramento, CA 95798-0818

Telephone: (888) 370-7589

www.bppe.ca.gov



ATTACHMENT TO NTC - 000362

Institution Name: AULAC Institute	Institution # 4307321
--------------------------------------	--------------------------

Comments:

94900(b)(1),(2)(3): Transcript was not contained in student files that included:

- (1) Certificate granted and date granted
- (2) Courses and units on which certificate was based
- (3) Grades earned by student in each course.

94902 + 94912: School Performance Fact Sheet not contained in student files and signed, initialed + dated in all required places by student + a member of institution.

94904: No exams administered for Ability-to-Benefit Students

Institution Administrator: Daniel Vien (White) Bureau	Date: 6-27-12 (Pink) Institution	Inspector: Jan Joy
---	--	-----------------------