



Bureau for Private Postsecondary Education
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 DEPARTMENT OF CONSUMER AFFAIRS

NOV 25 2013

NOTICE TO COMPLY - CA 3009321 1013 (Ed. Code § 94935, 5 CCR § 75010) **B.P.P.E.**

Institution Name:	Union University of California	Institution Telephone:	(714) 903-2762
Institution Code:	3009321	Administrator Name:	Dr. Son X. Nguyen
Street Address:	14200 Goldenwest Street Westminster, California 92683	Date of Inspection:	10/30-31/2013

Nature and Facts of the Violation(s):

Code of Regulations	Subsection and Description
§71920 (b)(3) -- Student Records	(b) In addition to the requirements of section 94900, the file shall contain all of the following pertinent student records: (3) Copies of all documents signed by the student, including contracts, instruments of indebtedness, and documents relating to financial aid; Institution failed to maintain copies of the School Performance Fact Sheet signed and dated by the student; signed and dated by the institution.
§76130 (a) -- Collection and Submission of Assessments	(a) A qualifying institution shall collect the assessment from each student in an educational program at the time it collects the first payment from or on behalf of the student at or after enrollment. The assessment shall be collected for the entire period of enrollment, regardless of whether the student pays the institutional charges in increments. Institution failed to collect the STRF assessment at the time it collects the first payment from or on behalf of the student.

Only minor violations are listed on the Notice to Comply.

Additional violations may have been identified that will be forwarded to the Enforcement Unit for further review.

Inspector's Name	Jeanne Matsumoto
Inspector's Signature	
Institution Administrator Name/Title:	Dr. Son X. Nguyen, Executive Vice President
Institution Administrator's Signature:	

Education Code can be located at: http://www.bppe.ca.gov/lawsregs/ppe_act.shtml
 Code of Regulations can be located at: <http://www.bppe.ca.gov/lawsregs/regs.shtml>

Notice to Comply - CA 3009321 1013

Inspector's Initial: *JM*

Administrator's Initial: *SN*